



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/634,616	08/04/2003	Alexander Franz	025.0289.US.UTL	6590
22895 7590 08/07/2007 CASCADIA INTELLECTUAL PROPERTY 500 UNION STREET SUITE 1005 SEATTLE, WA 98101			EXAMINER HERNANDEZ, JOSIAH J	
			ART UNIT 2626	PAPER NUMBER
			MAIL DATE 08/07/2007	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No. 10/634,616	Applicant(s) FRANZ ET AL.	
	Examiner Josiah Hernandez	Art Unit 2626	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 04 August 2003.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-10, 14-24 and 28-37 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-10, 14-24 and 28-37 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 04 August 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 2, 4, 6, 9-10, 14-16, 18, 20, 23, 24, and 28-37 are rejected under 35 U.S.C. 103(a) as being unpatentable over van den Akker (US 6,415,250) in view of Bracewell et al. (US PGPub 2006/0041685) and in further view of de Campos (US 6,272,456)

As to claims 1 and 15, van den Akker discloses a system for identifying language attributes through probabilistic analysis (see abstract lines 1-3; column 3 paragraph 1; column 7 paragraph 4). Van den Akker also discloses a storage system adapted to store a set of language classes, which identify a language (see column 3 paragraph 2; column 9 paragraph 2; column 11 lines 34-39), and a plurality of training documents (see column 9 lines 5-8, 42-46; column 10 lines 5-

10). Van den Akker discloses a text modeler adapted to train a text model by evaluating text occurrence within each training document and, for each language class, calculating a probability for the byte occurrences conditioned on the occurrence of the language class (see column 3 lines 15-20, 25-35; column 9 lines 15-20).

Van den Akker does not specifically disclose using byte occurrences or having an attribute modeler that evaluates occurrences of one or more document properties within each training document and, for each language class, calculating a probability for the document properties set conditioned on the occurrence of the language class or the use of character set encoding. De Campose teaches using n-grams such as 3-grams or 4-grams in order to efficiently identify the language of a text. Bracewell teaches that document properties like HTTP header information (of which stores character set encoding for a particular language) can be used in order to identify the language of a document or search query on the internet (see [0014]).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the method of Van den Akker with the use of n-grams taught by de Campos and the use of attribute properties, such as the HTTP header information, as disclosed in Bracewell. Doing so would have allowed for text and document information to be used to efficiently identify the language (see Bracewell [0014]) and using n-gram language profiles would have allowed to more accurately identify the language of a document (de

Campos, column 2 lines 50-55) and resolve the problem of computing too much information and not accurately identifying the language based on small text (de Campos, column 2 lines 20-25).

As to claims 2 and 16, van den Akker discloses a training engine adapted to calculate an overall probability for each language class by evaluating the probability for the document property set and the probability for the byte occurrences (see column 3 lines 35-45, 64-67; column 4 lines 50-55; column 10 lines 33-40).

As to claims 4 and 18, van den Akker does not disclose specifically that the document properties comprise at least one of top level domain, HTTP content character set encoding and language header parameters, and HTML content character set encoding and language metatags. Bracewell teaches that document properties like HTTP header information can be used in order to identify the language of a document or search query on the Internet (see [0014]). It would have been obvious to have used the attribute properties, such as the HTTP header information, as disclosed in Bracewell in a language identification model taught by van den Akker because using text and document property will result in an efficient form of identifying the language of a document or search query (see [0014]).

As to claims 6 and 20, van den Akker discloses a counting module adapted to count byte co-occurrences within a training document, and determine the probability for the byte occurrences based on the byte co-occurrences (see column 3 lines 15-20, 50-55; column 12 lines 50-55).

As to claims 9 and 23, van den Akker discloses a training engine adapted to perform iterative training by providing the probability for the document properties set and the probability for the byte occurrences set respectively to the evaluation of byte occurrences and assignment of the set of language classes (see column 3 lines 35-45, 64-67; column 4 lines 50-55; column 10 lines 33-40).

As to claims 10 and 24, van den Akker discloses a back off module adapted to evaluate less frequently occurring document properties by calculating a probability for a less frequently occurring document property conditioned on the occurrence of the language class (see column 10 45-65).

As to claims 14 and 28, van den Akker discloses at least one training document comprises one of a web page and news message (see column 4 lines 15-17; column 5 lines 27-37; column 7 lines 56-62).

As to claims 29 and 36, van den Akker discloses a computer-readable storage medium holding code for performing the method of identifying language attributes through probabilistic analysis (see column 6 lines 39-60).

As to claims 30 and 33, van den Akker discloses a method for identifying documents by language using probabilistic analysis of language attributes (see abstract lines 1-3; column 3 paragraph 1; column 7 paragraph 4), comprising: a set of language classes, each language class comprising a language and a character set encoding name (see column 3 paragraph 2; column 9 paragraph 2; column 11 lines 34-39); a training corpora comprising a plurality of training documents (see column 9 lines 5-8, 42-46; column 10 lines 5-10); and a text modeler adapted to train a text model by evaluating co-occurrences of a plurality of bytes within a training document and, for each language class, calculating a probability for the byte co-occurrences conditioned on the occurrence of the each language class (see column 3 lines 15-20, 25-35; column 9 lines 15-20).

Van den Akker does not specifically disclose using byte occurrences or an attribute modeler training an attribute model by evaluating a top level domain and character set encoding associated with each training document and, for each language class, calculating a probability for each such top level domain and character set encoding conditioned on the occurrence of the each language class. De Campose teaches using n-grams such as 3-grams or 4-grams in order

to efficiently identify the language of a text. Bracewell teaches that document properties like HTTP header information (of which stores character set encoding for a particular language) can be used in order to identify the language of a document or search query on the internet (see [0014]).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the method of Van den Akker with the use of n-grams taught by de Campos and the use of attribute properties, such as the HTTP header information, as disclosed in Bracewell. Doing so would have allowed for text and document information to be used to efficiently identify the language (see Bracewell [0014]) and using n-gram language profiles would have allowed to more accurately identify the language of a document (de Campos, column 2 lines 50-55) and resolve the problem of computing too much information and not accurately identifying the language based on small text (de Campos, column 2 lines 20-25).

As to claims 31 and 34, van den Akker discloses a training engine adapted to calculate an overall probability for each language class by evaluating the probability for the top level domain and character set encoding based on the attribute model and the probability for the byte occurrences based on the text model (see column 3 lines 35-45, 64-67; column 4 lines 50-55; column 10 lines 33-40).



As to claims 32 and 35, van den Akker discloses a plurality of unlabeled documents (see column 7 lines 50-55); and a classifier classifying one or more unlabeled documents by at least one language class (see column 5 lines 36-44), comprising: an attribute evaluator determining document properties within the documents and initializing language class probability to each document from the attribute model; a text evaluator evaluating byte occurrences in the documents and updating the language class probability of the each document from the text model (see column 7 lines 55-67); a pruner pruning at least one language class falling below a predetermined probability threshold; and an assignment module assigning at least one language class based on the language class probability of each document (see column 5 paragraph 2 lines 23-26).

As to claim 37, van den Akker discloses an apparatus for identifying documents by language using probabilistic analysis of language attributes (see abstract lines 1-3; column 3 paragraph 1; column 7 paragraph 4), comprising: means for defining a set of language classes, each language class comprising a language name and a character set encoding name (see column 3 paragraph 2; column 9 paragraph 2; column 11 lines 34-39); means for training a text model by evaluating co-occurrences of a plurality of bytes within each training document, for each language class, calculating a probability for the byte co-occurrence conditioned on the occurrence of the language class based on the

attribute model (see column 3 lines 15-20, 25-35; column 9 lines 15-20). Van den Akker does not disclose specifically means for training an attribute model by assigning at least one top level domain and character set encoding pairing to at least one language class for each of a plurality of training documents and calculating a probability for each such top level domain and character set encoding pairing conditioned on the occurrence of the assigned language class. Bracewell teaches that document properties like HTTP header information can be used in order to identify the language of a document or search query on the internet (see [0014]). It would have been obvious to have used the attribute properties, such as the HTTP header information, as disclosed in Bracewell in a language identification model taught by van den Akker because using text and document property will result in an efficient form of identifying the language of a document or search query (see [0014]).

3. Claims 3, 5, 17, and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over van den Akker (US 6,415,250) in view of Bracewell et al. (US PGPub 2006/0041685) as applied to claims 1,2,4,6,9-16,18,20,23-37 above, and in further view of Elworthy (US 6,125,362).

As to claims 3 and 17, van den Akker and Bracewell do not specifically disclose an assignment module assigning the overall probability for each language class in accordance with the formula:  $\arg \max P(\text{text}|\text{cls}) * P(\text{props}|\text{cls}) * P(\text{cls})$ . Elworthy teaches the use of probabilistic analysis for determining the language of a text or document (see column 1 lines 37-40). Elworthy further teaches that in order to classify documents or text according to a certain element the following Bayesian probabilistic formula can be used:  $p(l|t) = (P(t|l) * p(l)) / p(t)$  (see column 4 lines 25-35). If the denominator is passed to the left side the resultant equation is:  $p(l|t) * p(t) = P(t|l) * p(l)$ , where  $p(l|t)$  is the probability of the classification given the element and  $p(t)$  is the probability of the element (see column 4 lines 35-45). It would have been obvious to have used  $p(l|t) * p(t)$  as disclosed in Elworthy for the probabilistic analysis in van den Akker as modified, where  $p(l|t) = P(\text{text}|\text{cls}) * P(\text{props}|\text{cls})$ , where  $t$  is the language class and  $l$  is the text or the attribute property and  $p(l|t) * p(t)$  would be the probability of the language class given the text and the attribute. It would have been obvious to have used both prior arts because using the method described above would yield an accurate form of identifying the language model (see column 4 lines 25-45).

As to claims 5 and 19, van den Akker and Bracewell do not specifically disclose an assignment module assigning adapted to assign the probability for the document properties set based on the attribute model in accordance with the

Art Unit: 2626

formula:  $P(t|d,enc|cls)*P(cls)$ . Elworthy teaches the use of probabilistic analysis for determining the language of a text or document (see column 1 lines 37-40). Elworthy further teaches that in order to classify documents or text according to a certain element the following Bayesian probabilistic formula can be used:  $p(l|t) = (P(t|l)*p(l))/p(t)$  (see column 4 lines 25-35). If the denominator is passed to the left side the resultant equation is:  $p(l|t) * p(t) = P(t|l)*p(l)$ , where  $p(l|t)$  is the probability of the classification given the element and  $p(t)$  is the probability of the element (see column 4 lines 35-45). It would have been obvious to have used  $p(l|t)*p(t)$  as disclosed in Elworthy for the probabilistic analysis in van den Akker as modified, where  $p(l|t) = P(t|d,enc|cls)*P(cls)$ , where  $t$  is the language class and  $l$  is the text or the attribute property and  $p(l|t)*p(t)$  would be the probability of the language class given the text and the attribute. It would have been obvious to have used both prior arts because using the method described above would yield an accurate form of identifying the language model (see column 4 lines 25-45).

4. Claims 7 and 21 are rejected under 35 U.S.C. 103(a) as being unpatentable over van den Akker (US 6,415,250) in view of Bracewell et al. (US PGPub 2006/0041685) as applied to claims 1,2,4,6,9-16,18,20,23-37 above, and in further view of de Campos (US 6,272,456).

As to claims 7 and 21, van den Akker and Bracewell do not specifically disclose using trigrams. De Campos teaches using the byte co-occurrences comprise a set of trigrams (see column 1 lines 59-67; column 2 lines 50-54, 59-64; column 6 lines 53-60), further comprising a probability module calculating a probability of each trigram as the number of occurrences of the trigram divided by the total number of trigram occurrences in each of the training documents for each language class (see column 18 lines 64-67 and column 19 lines 1-6). It would have been obvious to use the trigram method disclosed in de Campos for the byte co-occurrences in the text model in van den Akker as modified because the trigram method would allow the text model to break down the unlabeled text and identify the language (see column 18 lines 64-67 and column 19 lines 1-6).

5. Claims 8 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over van den Akker (US 6,415,250) in view of Bracewell et al. (US PGPub 2006/0041685) as applied to claims 1,2,4,6,9-16,18,20,23-37 above, and in further view of de Campos (US 6,272,456) and Elworthy (US 6,125,362).

As to claims 8 and 22, van den Akker, Bracewell and Campos do not specifically disclose an assignment module adapted to assign the probability for the byte occurrences set based on the text model in accordance with the formula:  $P(\text{text}|\text{cls})$  where text is the set of trigrams and cls is the language class.

Elworthy teaches the use of probabilistic analysis for determining the language of a text or document (see column 1 lines 37-40). Elworthy further teaches that in order to classify documents or text according to a certain element the following Bayesian probabilistic formula can be used:  $p(l|t) = (P(t|l)*p(l))/p(t)$  (see column 4 lines 25-35). If the denominator is passed to the left side the resultant equation is:  $p(l|t) * p(t) = P(t|l)*p(l)$ , where  $p(l|t)$  is the probability of the classification given the element and  $p(t)$  is the probability of the element (see column 4 lines 35-45). It would have been obvious to have used  $p(l|t)$  as disclosed in Elworthy for the probabilistic analysis in van den Akker as modified, where  $p(l|t) = P(\text{text}|\text{cls})$ , where  $t$  is the language class and  $l$  is the text or set of trigrams (as disclosed by de Campos) and  $p(l|t)$  would be the probability of the language class given the text or trigram. It would have been obvious to have used both prior arts because using the method described above would yield an accurate form of identifying the language model (see column 4 lines 25-45).

### ***Conclusion***

A note has been made to notify the appropriate parties that the examiner has moved from Art Unit 2609 to 2626.

Any inquiry concerning this communication should be directed to Josiah Hernandez whose telephone number is 571-270-1646. The examiner can normally be reached from 7:30 pm to 5:00 pm.

Art Unit: 2626

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Hudspeth can be reached on (571) 272-7843. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JH



DAVID HUDSPETH  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2602